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CHU, GABRIEL L	
ART UNIT	**PAPER NUMBER**
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Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No.	Applicant(s)	
	10/763,009	HOUSTY, OSWIN	
	Examiner	Art Unit	
	Gabriel L. Chu	2114	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☒ Responsive to communication(s) filed on *21 January 2004*.

2a)☐ This action is **FINAL**. 2b)☒ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) *1-27* is/are pending in the application.

4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) *1-3,5,6,8-10,12-14,16-19 and 22-25* is/are rejected.

7)☒ Claim(s) *4,7,11,15,20,21,26 and 27* is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☒ The drawing(s) filed on *21 January 2004* is/are: a)☒ accepted or b)☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a)☐ All b)☐ Some * c)☐ None of:

1.☐ Certified copies of the priority documents have been received.

2.☐ Certified copies of the priority documents have been received in Application No. _____.

3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date *20040121*.
4) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date. _____.
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

DETAILED ACTION

Claim Objections

1. Claim 4 objected to because of the following informalities: Referring to claim 4, "said physical address" has no antecedent basis. It is understood to refer to "a physical address". Appropriate correction is required.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

> A person shall be entitled to a patent unless –
>
> (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. **Claim 9, 10, 12, 14 rejected under 35 U.S.C. 102(b) as being anticipated by US 5327548 to Hardell, Jr. et al.** Referring to claim 9, Hardell discloses in a multiprocessor computer system having a plurality of processing nodes and a memory distributed among the plurality of processing nodes (see figure 1), a method of testing the memory comprising the steps of:

configuring the memory by programming the plurality of processing nodes with an initial configuration (From line 33 of column 4, "Since the first processor to reach a certain stage in the booting process assumes the responsibilities of processor 0, the parallel character always remains intact." From line 21 of column 4, "It is presumed that processor 0 acquires responsibility for testing global memory.");

testing the memory using said initial configuration to identify a bad memory element (From line 21 of column 4, "It is presumed that processor 0 acquires

responsibility for testing global memory.");

determining a node and a region defined on said node which are associated with said bad memory element; reconfiguring the memory by programming the plurality of processing nodes with a revised configuration that excludes said region (From line 66 of column 1, "The present invention defines a system and method for steering spare bits in a multi-processor architecture having global memory resources, being comprised of a means for selecting a first processor to define the steering of spare bits in global memory, a means for enabling processors to define the steering of spare bits in respective local memories, and means for transferring global memory spare bit steering information from the first processor to other processors. In a preferred practice of the invention, the first of the multi-processors reaching a specified stage in the booting process is assigned responsibility for testing both its local memory and the global memory. The remaining processors test only their respective local memory arrays. The bit steering information derived by the selected processor is thereafter conveyed to each of the other processors as a part of ensuring that the memory spare bit steering is consistent from processor to processor for the global memory. Local memory bit steering is individualized to the associated processor." Further, see figure 1.); and

operating the multiprocessor computer system using said revised configuration (From line 56 of column 2 of Hardell, "Included within the system are four processors, identified by reference numerals 1-4. A representative example of a processor is the RISC System/6000 workstation with associated AIX Operating System as is commercially available from IBM Corporation." From figure 3, "continue system boot".).

4. Referring to claim 10, Hardell discloses passing control of the multiprocessor computer system with said revised configuration to an operating system (From line 56 of column 2 of Hardell, "Included within the system are four processors, identified by reference numerals 1-4. A representative example of a processor is the RISC System/6000 workstation with associated AIX Operating System as is commercially available from IBM Corporation." From figure 3, "continue system boot".).

5. Referring to claim 12, Hardell discloses determining said initial configuration prior to said step of configuring the memory (From line 33 of column 4, "Since the first processor to reach a certain stage in the booting process assumes the responsibilities of processor 0, the parallel character always remains intact." From line 21 of column 4, "It is presumed that processor 0 acquires responsibility for testing global memory.").

6. Referring to claim 14, Hardell discloses identifying one of the plurality of processing nodes that is to be a boot strap processor; performing said steps of configuring, testing, determining, and reconfiguring using said boot strap processor (See Hardell figure 3, "test global memory" and "send bit steering and bank configuration to other processors via atomic complex and/or global memory" performed by processor 0.).

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

> (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

8. **Claims 1-3, 5, 6, 8 rejected under 35 U.S.C. 103(a) as being unpatentable over US 5327548 to Hardell, Jr. et al. in view of US 5740349 to Hasbun et al.**

Referring to claim 1, Hardell discloses in a multiprocessor computer system having a plurality of processing nodes coupled to an array wherein each processing node is coupled to at least one other processing node, and a memory distributed among the plurality of processing nodes (see figure 1), a method of testing the memory comprising the steps of:

determining a configuration of the array (See figure 1, where a configuration of processors has been determined. Further, a single boot processor is selected, coordinating action between the multiple processors, abstract.);

testing the memory over the array to identify a bad memory element; and forming a revised configuration that excludes said bad memory element (From line 66 of column 1, "The present invention defines a system and method for steering spare bits in a multi-processor architecture having global memory resources, being comprised of a means for selecting a first processor to define the steering of spare bits in global memory, a means for enabling processors to define the steering of spare bits in respective local memories, and means for transferring global memory spare bit steering information from the first processor to other processors. In a preferred practice of the invention, the first of the multi-processors reaching a specified stage in the booting process is assigned responsibility for testing both its local memory and the global memory. The remaining processors test only their respective local memory arrays. The bit steering information derived by the selected processor is thereafter conveyed to each of the other

processors as a part of ensuring that the memory spare bit steering is consistent from processor to processor for the global memory. Local memory bit steering is individualized to the associated processor.").

Although Hardell does not specifically disclose determining an initial configuration of the memory, testing according to said initial configuration, and modifying said initial configuration to form the revised configuration, testing memory using an "initial configuration" that is subsequently modified is known in the art. An example of this is shown by Hasbun, from figure 7, it can be seen that at powerup, a bad block table is read from flash ROM into RAM and subsequently detected bad blocks are written to the block table in RAM. A person of ordinary skill in the art at the time of the invention would have been motivated to use a bad block table read from flash ROM because, from line 29 of column 3 of Hasbun, "provide a method by which defects in the flash memory array may be reliably stored for the management of blocks of flash EEPROM memory" and from line 39 of column 3 of Hasbun, "writes those changes to read only memory apart from the array so that they are available to the controller should power be lost during the operation of the array." As can be seen from figure 1 of Hardell, configuration registers are used to store mapping information, such registers not being disclosed as non-volatile, and as such, prone to unreliability as disclosed in Hasbun.

9. Referring to claim 2, Hardell in view of Hasbun discloses passing control of the multiprocessor computer system with said revised configuration to an operating system (From line 56 of column 2 of Hardell, "Included within the system are four processors, identified by reference numerals 1-4. A representative example of a processor is the

RISC System/6000 workstation with associated AIX Operating System as is commercially available from IBM Corporation." From figure 3, "continue system boot".).

10. Referring to claim 3, Hardell in view of Hasbun discloses said step of modifying said initial configuration to form said revised configuration comprises the steps of: identifying a bad memory block corresponding to said bad memory element; forming said revised configuration to exclude said bad memory block (From line 66 of column 1 of Hardell, "The present invention defines a system and method for steering spare bits in a multi-processor architecture having global memory resources, being comprised of a means for selecting a first processor to define the steering of spare bits in global memory, a means for enabling processors to define the steering of spare bits in respective local memories, and means for transferring global memory spare bit steering information from the first processor to other processors. In a preferred practice of the invention, the first of the multi-processors reaching a specified stage in the booting process is assigned responsibility for testing both its local memory and the global memory. The remaining processors test only their respective local memory arrays. The bit steering information derived by the selected processor is thereafter conveyed to each of the other processors as a part of ensuring that the memory spare bit steering is consistent from processor to processor for the global memory. Local memory bit steering is individualized to the associated processor.").

11. Referring to claim 5, Hardell in view of Hasbun discloses identifying one of the plurality of processing nodes that is to be a boot strap processor; performing said step

of testing using said boot strap processor (See Hardell figure 3, "test global memory" performed by processor 0.).

12. Referring to claim 6, Hardell in view of Hasbun discloses said step of modifying said initial configuration to form said revised configuration comprises the step of: communicating said revised configuration to each of the plurality of processing nodes (See figure 3 of Hardell, "send bit steering and bank configuration to other processors via atomic complex and/or global memory".).

13. Referring to claim 8, Hardell in view of Hasbun discloses said step of determining said initial configuration comprises the step of determining said initial configuration using a system management bus coupled to the memory (Hasbun, from figure 7, shows that at powerup, a bad block table is read from flash ROM into RAM and subsequently detected bad blocks are written to the block table in RAM. Such data transfer for system management is accomplished using a bus.).

14. **Claim 13 rejected under 35 U.S.C. 103(a) as being unpatentable over US 5327548 to Hardell, Jr. et al. as applied to claim 12 above, and further in view of US 5740349 to Hasbun et al.** Referring to claim 13, although Hardell does not specifically disclose said step of determining said initial configuration comprises the step of determining said initial configuration using a system management bus coupled to the memory, transferring an initial memory mapping is known in the art. An example of this is shown by Hasbun, from figure 7, it can be seen that at powerup, a bad block table is read from flash ROM into RAM and subsequently detected bad blocks are written to the block table in RAM. A person of ordinary skill in the art at the time of the invention would

have been motivated to use a bad block table read from flash ROM because, from line 29 of column 3 of Hasbun, "provide a method by which defects in the flash memory array may be reliably stored for the management of blocks of flash EEPROM memory" and from line 39 of column 3 of Hasbun, "writes those changes to read only memory apart from the array so that they are available to the controller should power be lost during the operation of the array." As can be seen from figure 1 of Hardell, configuration registers are used to store mapping information, such registers not being disclosed as non-volatile, and as such, prone to unreliability as disclosed in Hasbun.

15. **Claims 16-19 rejected under 35 U.S.C. 103(a) as being unpatentable over US 5327548 to Hardell, Jr. et al. in view of US 5740349 to Hasbun et al. and US 6571347 to Tseng.** Referring to claim 16, Hardell discloses for use in a multiprocessor computer system including: a plurality of processing nodes coupled in an array wherein each processing node is coupled to at least one other processing node; and a memory distributed among the plurality of nodes (see figure 1),

a boot coordinating means adapted to be coupled to one of the plurality of processing nodes designated a boot strap processor (BSP) (From the abstract, "During the system boot cycle one of the multiple processors is selected to test global memory and to configure the steering of the spare bits by bank or the like."), , said boot coordinating means comprising:

a first set of instructions executable by said BSP to determine a configuration of the array (See figure 1, where a configuration of processors has been determined. Further, a single boot processor is selected, coordinating action between the multiple

processors, abstract.);

a third set of instructions executable by said BSP to test the memory over the array to identify a bad memory element; and a fourth set of instructions executable by said BSP to form a revised configuration that excludes said bad memory element (From line 66 of column 1, "The present invention defines a system and method for steering spare bits in a multi-processor architecture having global memory resources, being comprised of a means for selecting a first processor to define the steering of spare bits in global memory, a means for enabling processors to define the steering of spare bits in respective local memories, and means for transferring global memory spare bit steering information from the first processor to other processors. In a preferred practice of the invention, the first of the multi-processors reaching a specified stage in the booting process is assigned responsibility for testing both its local memory and the global memory. The remaining processors test only their respective local memory arrays. The bit steering information derived by the selected processor is thereafter conveyed to each of the other processors as a part of ensuring that the memory spare bit steering is consistent from processor to processor for the global memory. Local memory bit steering is individualized to the associated processor.").

Although Hardell does not specifically disclose a second set of instructions executable by the BSP to determine an initial configuration of the memory, testing according to said initial configuration, and modifying said initial configuration to form the revised configuration, testing memory using an "initial configuration" that is subsequently modified is known in the art. An example of this is shown by Hasbun, from

figure 7, it can be seen that at powerup, a bad block table is read from flash ROM into RAM and subsequently detected bad blocks are written to the block table in RAM. A person of ordinary skill in the art at the time of the invention would have been motivated to use a bad block table read from flash ROM because, from line 29 of column 3 of Hasbun, "provide a method by which defects in the flash memory array may be reliably stored for the management of blocks of flash EEPROM memory" and from line 39 of column 3 of Hasbun, "writes those changes to read only memory apart from the array so that they are available to the controller should power be lost during the operation of the array." As can be seen from figure 1 of Hardell, configuration registers are used to store mapping information, such registers not being disclosed as non-volatile, and as such, prone to unreliability as disclosed in Hasbun.

Further, although Hardell in view of Hasbun does not specifically disclose that the boot coordination means may be a BIOS, using a BIOS for booting a system is well known in the art. An example of this is shown by Tseng, From line 1 of column 4, "Flash memory 12 contains a computer initiation program, or BIOS program, used to boot-up host system 40 to which apparatus 10 is connected." A person of ordinary skill in the art at the time of the invention would have been motivated to use a BIOS because Hardell has specifically disclosed a need for computer initiation which the BIOS fulfills.

16. Referring to claim 17, Hardell in view of Hasbun and Tseng discloses said first, second, third, and fourth sets of instructions are stored in a mask ROM (From line 3 of column 4 of Tseng, "Because the BIOS program is stored in flash memory, the program may be edited, altered, or over-written. ROM 14 stores the same BIOS program as

does flash memory 12 before the program stored in flash memory 12 is altered in any manner. ROM 14 may be a Mask ROM, OTP ROM, EPROM, EEPROM, and a flash memory.").

17. Referring to claim 18, Hardell in view of Hasbun and Tseng discloses said first, second, third, and fourth sets of instructions are stored in an EPROM (From line 3 of column 4 of Tseng, "Because the BIOS program is stored in flash memory, the program may be edited, altered, or over-written. ROM 14 stores the same BIOS program as does flash memory 12 before the program stored in flash memory 12 is altered in any manner. ROM 14 may be a Mask ROM, OTP ROM, EPROM, EEPROM, and a flash memory.").

18. Referring to claim 19, Hardell in view of Hasbun and Tseng discloses passing control of the multiprocessor computer system with said revised configuration to an operating system (From line 56 of column 2 of Hardell, "Included within the system are four processors, identified by reference numerals 1-4. A representative example of a processor is the RISC System/6000 workstation with associated AIX Operating System as is commercially available from IBM Corporation." From figure 3, "continue system boot".).

19. **Claims 22-25 rejected under 35 U.S.C. 103(a) as being unpatentable over US 5327548 to Hardell, Jr. et al. in view of US 6571347 to Tseng.** Referring to claim 22, Hardell discloses for use in a multiprocessor computer system including a plurality of processing nodes coupled in an array wherein each processing node is coupled to at least one other processing node; and a memory distributed among the plurality of

processing nodes (see figure 1),

a boot coordination means adapted to be coupled to one of the plurality of processing nodes, designated a boot strap processor (BSP) (From the abstract, "During the system boot cycle one of the multiple processors is selected to test global memory and to configure the steering of the spare bits by bank or the like."), said boot coordination means comprising:

a first set of instructions executable by said BSP to configure the memory by programming the plurality of processing nodes with an initial configuration (From line 33 of column 4, "Since the first processor to reach a certain stage in the booting process assumes the responsibilities of processor 0, the parallel character always remains intact." From line 21 of column 4, "It is presumed that processor 0 acquires responsibility for testing global memory.");

a second set of instructions executable by said BSP to test the memory using said initial configuration to identify a bad memory element (From line 21 of column 4, "It is presumed that processor 0 acquires responsibility for testing global memory.");

a third set of instructions executable by said BSP to determine a node and a region defined on said node which are associated with said bad memory element; a fourth set of instructions executable by said BSP to reconfigure the memory by programming the plurality of processing nodes with a revised configuration that excludes said region (From line 66 of column 1, "The present invention defines a system and method for steering spare bits in a multi-processor architecture having global memory resources, being comprised of a means for selecting a first processor to

define the steering of spare bits in global memory, a means for enabling processors to define the steering of spare bits in respective local memories, and means for transferring global memory spare bit steering information from the first processor to other processors. In a preferred practice of the invention, the first of the multi-processors reaching a specified stage in the booting process is assigned responsibility for testing both its local memory and the global memory. The remaining processors test only their respective local memory arrays. The bit steering information derived by the selected processor is thereafter conveyed to each of the other processors as a part of ensuring that the memory spare bit steering is consistent from processor to processor for the global memory. Local memory bit steering is individualized to the associated processor." Further, see figure 1.); and

a fifth set of instructions executable by said BSP to operate the multiprocessor computer system using said revised configuration (From line 56 of column 2 of Hardell, "Included within the system are four processors, identified by reference numerals 1-4. A representative example of a processor is the RISC System/6000 workstation with associated AIX Operating System as is commercially available from IBM Corporation." From figure 3, "continue system boot".).

Although Hardell does not specifically disclose that the boot coordination means may be a BIOS, using a BIOS for booting a system is well known in the art. An example of this is shown by Tseng, From line 1 of column 4, "Flash memory 12 contains a computer initiation program, or BIOS program, used to boot-up host system 40 to which apparatus 10 is connected." A person of ordinary skill in the art at the time of the

invention would have been motivated to use a BIOS because Hardell has specifically disclosed a need for computer initiation which the BIOS fulfills.

20. Referring to claim 23, Hardell in view of Tseng discloses said first, second, third, fourth, and fifth sets of instructions are stored in a mask ROM (From line 3 of column 4 of Tseng, "Because the BIOS program is stored in flash memory, the program may be edited, altered, or over-written. ROM 14 stores the same BIOS program as does flash memory 12 before the program stored in flash memory 12 is altered in any manner. ROM 14 may be a Mask ROM, OTP ROM, EPROM, EEPROM, and a flash memory.").

21. Referring to claim 24, Hardell in view of Tseng discloses said first, second, third, fourth, and fifth sets of instructions are stored in an EPROM (From line 3 of column 4 of Tseng, "Because the BIOS program is stored in flash memory, the program may be edited, altered, or over-written. ROM 14 stores the same BIOS program as does flash memory 12 before the program stored in flash memory 12 is altered in any manner. ROM 14 may be a Mask ROM, OTP ROM, EPROM, EEPROM, and a flash memory.").

22. Referring to claim 25, Hardell in view of Tseng discloses said fifth set of instructions operates the multiprocessing computer system using said revised configuration by transferring control to an operating system (From line 56 of column 2 of Hardell, "Included within the system are four processors, identified by reference numerals 1-4. A representative example of a processor is the RISC System/6000 workstation with associated AIX Operating System as is commercially available from IBM Corporation." From figure 3, "continue system boot".).

Allowable Subject Matter

23. **Claim 4, 7, 11, 15, 20, 21, 26, 27 objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.** Referring to claim 4, the prior art does not teach or fairly suggest said step of modifying comprises the steps of: determining a node and a chip select on said node with which said bad memory block is associated using a physical address; and reconfiguring the memory by disabling said chip select on said node.

24. Referring to claim 7, the prior art does not teach or fairly suggest said step of determining said initial configuration comprises the step of: communicating said initial configuration to each of the plurality of processing nodes before said step of testing.

25. Referring to claim 11, the prior art does not teach or fairly suggest said step of determining said node and said region comprises the steps of, for successive ones of the plurality of processing nodes and until said region is found: determining a last enabled region on a respective processing node, if any; and determining whether a physical address of said bad memory element falls within said last enabled region.

26. Referring to claim 15, the prior art does not teach or fairly suggest the step of identifying comprises the step of determining that a communication controller of said one of the plurality of processing nodes is connected to a Southbridge.

27. Referring to claim 20, 26, the prior art does not teach or fairly suggest said fourth set of instructions provides information about said bad memory element to said first set of instructions and causes said first set of instructions to be re-executed.

28. Referring to claim 21, 27, the prior art does not teach or fairly suggest said second set of instructions further causes said boot strap processor to communicate said initial configuration to said plurality of processing nodes over the array.

Conclusion

29. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See notice of references cited.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gabriel L. Chu whose telephone number is (571) 272-3656. The examiner can normally be reached on weekdays between 8:30 AM and 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Scott Baderman can be reached on (571) 272-3644. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Gabriel L. Chu
Examiner
Art Unit 2114

gc